# REVIEW

**Open Access** 



# The clinical trajectory of emerging bipolar disorder among the high-risk offspring of bipolar parents: current understanding and future considerations

A. Duffy<sup>1\*</sup>, C. Vandeleur<sup>2</sup>, N. Heffer<sup>3</sup> and M. Preisig<sup>2</sup>

# Abstract

**Background:** Relatively little is known about the onset of bipolar disorder, yet the early illness course is already associated with significant morbidity and mortality. Therefore, characterizing the bipolar illness trajectory is key to risk prediction and early intervention advancement.

**Main body:** In this narrative review, we discuss key findings from prospective longitudinal studies of the high-risk offspring of bipolar parents and related meta-analyses that inform us about the clinical trajectory of emerging bipolar disorder. Challenges such as phenotypic and etiologic heterogeneity and the non-specificity of early symptoms and syndromes are highlighted. Implications of the findings for both research and clinical practice are discussed.

**Conclusion:** Bipolar disorder in young people at familial risk does not typically onset with a hypomanic or manic episode. Rather the first activated episode is often preceded by years of impairing psychopathological states that vary over development and across emerging bipolar subtype. Taking heterogeneity into account and adopting a more comprehensive approach to diagnosis seems necessary to advance earlier identification and our understanding of the onset of bipolar disorder.

Keywords: Bipolar disorder, Early course, Antecedents, Stages, Illness trajectory, High-risk, Offspring

# Background

Bipolar disorder is a heterogeneous and genetically complex illness (McGuffin et al. 2010). Currently, the diagnosis is based on the manifestation of at least one manic (bipolar I) or hypomanic and depressive episode (bipolar-II). However, prospective studies provide substantial evidence that the first activated (manic or hypomanic) episode is often preceded by one or several depressive episodes typically in adolescence, and not uncommonly by much earlier childhood clinical antecedents (Duffy et al. 2014; Egeland et al. 2012; Mesman et al. 2013). Further, it is estimated to take over a decade from the onset of the first activated episode to recognition of the bipolar

\*Correspondence: anne.duffy@queensu.ca

<sup>1</sup> Student Wellness Centre, Department of Psychiatry, Queen's University, 146 Stuart Street, Kingston, ON K7L3N6, Canada



Therefore, earlier identification of emergent bipolar disorder and advances in understanding the nature of illness onset are key research priorities. An important strategic aim to attain these goals is mapping risk processes and markers of illness activity over the trajectory of illness development—from well but at risk, to full-blown illness. An informative approach to accomplish this aim is to prospectively study the high-risk offspring of bipolar parents from childhood over the peak risk period for



© The Author(s) 2017. This article is distributed under the terms of the Creative Commons Attribution 4.0 International License (Inttp://creativecommons.org/licenses/by/4.0/), which permits unrestricted use, distribution, and reproduction in any medium, provided you give appropriate credit to the original author(s) and the source, provide a link to the Creative Commons license, and indicate if changes were made.

Full list of author information is available at the end of the article

illness onset. (i.e., adolescence and early adulthood). This design allows for parallel, real-time assessment of risk factors and potential confounds—allowing for the separation of trait from state, critical to the task of identifying risk markers and endophenotypes. Ultimately, this advance will inform the development and timing of specific early interventions; thereby reducing the proportion of individuals reaching end-stage illness and developing a progressive illness course.

# Methods

We completed a selective narrative review of published studies that inform us about the emerging clinical trajectory of bipolar disorder. Included studies (listed in Table 1) had to be prospective and longitudinal in design, include the offspring of at least one parent with bipolar disorder, and report on clinical outcomes at the syndrome level. The purpose of this narrative review is, therefore, to summarize the available evidence informing the clinical picture of emerging bipolar disorder and highlight the importance of heterogeneity in this work. We also discuss how risk factors such as family history can be used to differentiate the early bipolar illness trajectory from otherwise non-specific clinical presentations. Finally, we discuss the clinical and research implications of these findings, highlighting knowledge gaps and future challenges.

#### **Results and discussion**

## **Emerging bipolar disorder**

Bipolar disorder runs in families and a confirmed history in a first-degree relative is the single most robust predictor of illness risk. Based on data from published family studies and a meta-analysis, a first degree relative of a bipolar patient has an estimated eight- to tenfold lifetime risk of developing bipolar disorder and a two to threefold lifetime risk of developing a depressive disorder compared to the general population (Duffy et al. 2000; Wilde et al. 2014). However, recent evidence from two large family studies found an increase of major depressive disorder only among adult family members of bipolar-II but not bipolar-I probands, suggesting the independence of familial aggregation of bipolar-I and major depressive disorder (Vandeleur et al. 2014; Merikangas et al. 2014). The discrepant results of these recent compared to earlier family studies is most likely explained by differential methodological approaches-that is a mixture of probands with both bipolar-I and bipolar-II disorders, as well as the use of RDC criteria and different diagnostic measures. Depressive disorders that share a bipolar diathesis (i.e., in relatives of bipolar probands) or represent the emerging course (i.e., in subjects that later develop bipolar disorder) have been described as having an early adolescent onset, a highly recurrent course, and not uncommonly include psychotic features, activated symptoms and evidence of paradoxical worsening on antidepressants (Strober and Carlson 1982; O'Donovan et al. 2008).

There are a number of risk modifiers that can be used to individualize the estimated risk of developing bipolar disorder for individuals from specific families. For example, high penetrance across multiple generations, an earlier age of onset in the proband bipolar patient, and assortative mating all increase the risk estimate (Duffy et al. 2000). Additionally, early adversity in the form of abuse, neglect, increased exposure to active parental illness, and attachment difficulties have been associated with an increased risk and an earlier age of onset of bipolar disorder, possibly through epigenetic mechanisms (Goodday et al. 2015; Duffy et al. 2016; Doucette et al. 2016; Petronis 2010; Post et al. 2016; Bagot and Meaney 2010).

Given the high estimated heritability of bipolar disorder (Smoller and Finn 2003), children of bipolar parents are an important and informative high-risk population. Prospective study of the emerging clinical course in children of parents with well-characterized bipolar disorder, alongside assessment of other important risk factors, is the best way to disentangle primary illness development from burden of illness effects. There are now a number of published international prospective longitudinal offspring studies (Table 1) (Duffy et al. 2014; Egeland et al. 2012; Mesman et al. 2013; Birmaher et al. 2009; Preisig et al. 2016; Nurnberger et al. 2011). Each study varies in terms of recruitment and assessment methods, yielding differences in risk modifiers such as the age of onset and comorbidity in the proband parents, degree of assortative mating, proportion of intact families, and level of education and income of the family. The nature of the comparator (control) group also differs across high-risk studies.

Nonetheless, there are several key findings regarding the children at confirmed familial risk that can be summarized as follows: (i) anxiety and sleep disorders in childhood increase the risk of subsequent major mood disorders 2.5- to 3-fold compared to high-risk offspring without these antecedents (Nurnberger et al. 2011; Duffy et al. 2013); (ii) ADHD and behavioural disorders are not consistently elevated in high-risk offspring after adjustment for family factors (socioeconomic status, cultural context, ADHD and other comorbid psychiatric disorders in bipolar and other parents) and bipolar subtype (psychotic spectrum illness) (Egeland et al. 2012; Birmaher et al. 2009; Nurnberger et al. 2011; Duffy 2012; Axelson et al. 2015); (iii) increased exposure in childhood to active parental illness (Goodday et al. 2015) and earlier age of onset of parental bipolar disorder (Preisig et al.

	2
osychopathology outcomes	Offspring characteristic
lar offspring studies: study characteristics and <b>j</b>	Parental characteristics
An overview of prospective bipol	Cohort characteristics
1 J	shed

Table 1 A	n overview	of prospe	ctive bipc	olar offsprir	ng studie	s: study	character	istics and p	sychopathe	ology	outcomes			
Published	Cohort cha	racteristics				Parental	characteris	tics		Offspr	ing charact	eristics		
XIOX	Country of origin	Type of cohort	Years of fol- low-up	Follow-up interval (# inter- views)	Drop- out rate (%)	<i>د</i>	BD index parent	BD mother (%)	Recruit- ment	2	Mean age at last assess- ment (range)	Diagnostic interview	Most recent off- spring diagnoses % (controls)	Early course of BD
Duffy et al. (1998, 2014)	Canada	Dynamic <sup>a</sup>	Up to 16 (mean 6.3)	Annually	<u>م</u>		45% BDI 43% BDI 12% RMDD <sup>d</sup>	2 2	Outpatient specialty clinics; lithium respond- ers and non- respond- ers	229	22.6 (7–25)	K-SADS-PL; SADS-L	22% BDJ, II, NOS, SCZBD (0%) 32% MDD (3%) 20% adjustment (41%) 9% minor mood (2%) 30% SUD (16%) 11% neuro dev (6%) 2% DBD (0%)	84% index episode depressive Mean onset 16 ± 4 years Latency 4 years to hypo/mania Mean onset hypo/ mania 20 years O pre-pubertal hypo/mania Antecdent anxiety predicted 2.5-fold-risk major mood disorder
Wals et al. (2001); Mesman et al. (2013)	The Nether- lands	Fixed <sup>b</sup>	12	Baseline, 1-5, 12-years (4)	23	е 6	75% BDI 25% BDI	09	72% patient associa- tion 28% outpa- tient clinic	108	28.0 (22–33)	K-SADS-PL; SCID	12% BDI, II, SCZBD 17% MDD 4% adjustment 29% minor mood 25% anxiety 23% SUD 5% ADHD, 7% DBD	88% index episode depressive Mean onset 15 ± 5 years hypo/mania hypo/mania hypomania 17 years Median onset mania 20 years o pre-pubertal hypo/mania

0
ā
<b></b>
_
2
•=
-
_
~
<u> </u>
•••
ς
Ð
_
J.
-

	Junnued													
Published	Cohort chē	aracteristics				Parental	characteris	tics		Offspi	'ing charact	eristics		
WOLK	Country of origin	Type of cohort	Years of fol- low-up	Follow-up interval (# inter- views)	Drop- out rate (%)	c .	BD index parent	BD mother (%)	Recruit- ment	2	Mean age at last assess- ment (range)	Diagnostic interview	Most recent off- spring diagnoses % (controls)	Early course of BD
Egeland (2003, 2012)	C SA	Fixed	<u>0</u>	Annually (16)	0	5	100% BDI	43	Genetic linkage research; Amish commu- nity nity	11.5	59% within 'window of risk' (13–29) (13–29)	CARE - interview	7% BDI (1%), 39% Risk rating (16%)	Median onset hypo/mania 18 years O pre-pubertal hypo/mania All preceded by anxiety/dep 0 evidence of SMD in childhood 0 DBD, ADHD, in childhood presodic childhood prirritability Episodic childhood intrinability Episodic childhood intrinability Episodic childhood symptoms Shift in ado- lescence to externalizing- symptoms
Birmaher et al. (2009); Axelson et al. (2015)	USA	Fixed	Mean 6.8	Mean 2.5 years (mean# inter- views 2.7)	0	236	72% BDI 28% BDII	8	53% adver- tisement 31% adult BD studies 16% outpa- tient clinics	356	18.1 (土 4.8)	K-SADS-PL; SCID	8% BD (1%), 11% BDNOS (1%) 19% MDD (14%), 10% Dep NOS (7%) 40% anxiety (22%) 20% SUD (10%) 21% ADHD (18%), 27% DBD (15%) 35% ODD/CD (19%)	Mean onset hypo/ mania 13 years Mean onset MDD 14 years 33% index hypo/ mania < 10 years 53% onset hypo/ mania < 12 years

- <b>A</b>
w.
_
_
2
•=
-
_
0
- Č
•
-
41
w
_
_
0

-

Published	Cohort ché	aracteristics				Parental c	haracterist	S		Offsp	ring charact	eristics		
work	Country of origin	Type of cohort	Years of fol- low-up	Follow-up interval (# inter- views)	Drop- out rate (%)	5	BD index parent	BD mother (%)	Recruit- ment	<b>c</b>	Mean age at last assess- ment (range)	Diagnostic interview	Most recent off- spring diagnoses % (controls)	Early course of BD
Nurnberger et al. (2011)	NSA	Fixed	2-3	Baseline, 1–2-years (3)	l o V Q U Q U	88 proband <sup>6</sup>	88.5% BDI 7% BDII 4.5% SCZBD	ol	Outpatient clinic; research studies	141	17 (12–21 base- line)	K-SADS-BP; 65% by tel- ephone	9% BDI, II, NOS (0%) 16% MDD (4%) 18% SUD (9%) 11% DBD (6%), 11% DBD (8%)	Median onset hypomania 16 years Median onset mania 13 years 5% of BD had onset ≤ 12 years Antecedent anxi- ety predicted a 2.5-fold-risk major mood disorder Antecedent a.2-fold-risk major mood disorder orders predicted 3.5-fold-risk major mood disorder orders predicted disorder
Vandeleur et al. (2014); Preisig et al. (2016)	Switzer- land	Dynamic	Mean 10.6	Every 3 years (mean # inter- views 3.3)	ñ	Ξ	65.5% BDI 12.5% BDII 22% SCZBD	28	Inpatient and out- patient clinics	145	(16–28) (16–28)	K-SADS-E	12% BDJ, II (4%) 17% BPS (5%) 37% MDD (38%) 44% anxiety (38%) 28% SUD (19%) 17% ADHD (13%) 15% ODD (13%)	Mean onset hypo/ mania 16 years (median = 15 years) MDD 13 years (median = 13 years) (e1% index episode depressive 19.4% onset hypo/ mania < 12 years
						-	.				-			

ADHD attention deficit (hyperactivity) disorder, BD bipolar disorder, BD-NOS bipolar disorder not otherwise specified, BD I/ bipolar I disorder, BD II/ bipolar-II disorder, BPS bipolar spectrum disorder, CD conduct disorder, DBD disruptive behavioral disorder, Dep-NOS depression not otherwise specified, MDD major depressive disorder, Neuro-dev neurodevelopmental disorder, ODD oppositional defiant disorder, SCZBD schizoaffective disorder bipolar type, SUD substance use disorder

<sup>a</sup> Dynamic continuous enrolment and follow-up from entry

<sup>b</sup> *Fixed* enrolment at time 1 and then follow-up

 $^{\mathrm{c}}$  Proband for 81% of offspring the proband was the parent

<sup>d</sup> RMDD recurrent major depressive disorder in 1st degree relatives of BD probands

2016) appear to increase the risk of psychopathology in high-risk offspring.

Several offspring studies, but not all (Axelson et al. 2015), confirm the prominence of depressive mood disorders early in the course of emergent bipolar disorder, typically manifesting at the syndrome level in early to mid-adolescence (Duffy et al. 2009, 2014; Mesman et al. 2013; Nurnberger et al. 2011). To wit, the Dutch, multisite US, and Canadian offspring studies reported that in the vast majority of cases, the initial major mood episode in those offspring who developed bipolar disorder was depressive in polarity (Duffy et al. 2014; Mesman et al. 2013; Nurnberger et al. 2011). Interestingly, despite repeated prospective direct assessment of children at confirmed familial risk, these studies have almost uniformly found no support for the proposed pre-pubertal or very early onset bipolar disorder subtype (Duffy et al. 2014; Egeland et al. 2012; Mesman et al. 2013). For example, the mean age of onset of the first diagnosable activated episode across studies at last report ranged from 13 to 20 years (Duffy et al. 2014; Mesman et al. 2013; Nurnberger et al. 2011). The BIOS study stands apart somewhat from these other published studies reporting that, in those offspring who developed BD, 50% had mania prior to age 12 (compared to 0% in other studies) and 50% debuted with a depressive episode (compared to over 80% in other studies) (Axelson et al. 2015). It is possible that these differences reflect differences in study populations (Birmaher et al. 2009)— BIOS families had significantly lower SES, higher rates of comorbidity in the BD parent, and more assortative mating as indicated by high rates of psychiatric illness in the other (non-bipolar) parent compared to the Canadian, Dutch and Amish studies (Duffy et al. 2014; Egeland et al. 2012; Mesman et al. 2013). The Swiss study found the mean age of onset of the first diagnosable activated episode was age 16 (SD 5.1 years), of which 61% debuted with a depressive episode. Six cases (19.4%) of mania/ hypomania were found prior to age 12, but 5 out of these 6 children had experienced early trauma just before the reported onset of mania/hypomania. The mean age of onset of the first activated manic/hypomanic episode in the remaining offspring was 17.5 (SD 4.3) years. With longer follow-up, the mean age of onset of first activated episode will likely increase further as new onsets continue to occur and as evidenced in the updated reports from the Dutch and Canadian studies (Duffy et al. 2014; Mesman et al. 2013).

A preliminary analysis of the trajectory of emerging bipolar disorder based on the Canadian Flourish highrisk offspring cohort was consistent with a progressive sequence of psychopathology over development (see Fig. 1 reproduced with permission (Duffy 2014). Further evidence supported that bipolar disorder varies in presentation within families and in course and treatment response between families, highlighting important aspects of phenotypic and etiologic heterogeneity. The best-fit model supported a shift from non-specific childhood antecedent syndromes (i.e., sleep problems, anxiety, and in the lithium non-responsive bipolar subtype, neurodevelopmental disorders) to internalizing symptoms under stress (i.e., sensitivity), to depressive disorders and finally on to the manifestation of the first activated episode.

This conceptual model of the trajectory of emerging bipolar disorder is consistent with several of the main observations among the high-risk studies, namely that: (i) bipolar disorder does not typically begin with a manic episode and is often presaged by childhood antecedent conditions and years of depressive episodes with more proximal subsyndromal hypomanic symptoms; (ii) childhood sleep and internalizing disorders likely have different significance in children with a confirmed familial risk of bipolar disorder compared to children without this risk; (iii) depressive episodes in adolescents at confirmed familial risk of bipolar disorder may represent emerging bipolar disorder or at least an increased likelihood of a bipolar predisposition; (iv) manic-like presentations in very young children without a confirmed family history of bipolar disorder may index a set of disorders or problems unrelated to bipolar disorder.

Findings from a recent meta-analysis indicate that there is substantive heterogeneity in prodromal symptom profiles and duration preceding an index manic episode (Van Meter et al. 2016). All but one of the studies included in this meta-analysis relied on retrospective data, often based on structured self-report symptom rating scales. Further, recall was over variable periods of time and often in patients whose most recent episode was manic. All of these methodological aspects are potential sources of bias. These limitations notwithstanding, common prodromal or proximal symptoms (> 50%) included excessive energy and goal directedness, talkativeness and pressured speech, elated mood, insomnia, depressed mood, diminished ability to think, and academic and work difficulties (Van Meter et al. 2016).

The Amish high-risk offspring study was the first to report that sensitivity by nature (i.e., stress reactivity) and episodic internalizing anxiety and depressive symptoms shifted into episodic activated symptom clusters over development and more proximal to the onset of bipolar disorder (Egeland et al. 2003, 2012). The episodic recurrent nature of these symptoms, combined with the confirmed familial risk of bipolar disorder in multiple generations, increases the likelihood that these otherwise non-specific manifestations reflect the bipolar diathesis.

Recently, the BIOS study showed that parent-reported internalizing symptoms and child-reported affective lability predicted onset of the first manic episode; the latter in part through an association with more proximal manic symptoms (Hafeman et al. 2016). The Flourish Canadian study observed that while the median age of onset of clinically assessed hypomanic symptoms in that offspring cohort was 16.4 years, the range was large (between 6 and 30 years). On average, these clinically significant hypomanic symptom onset prior but proximal to the index depressive episode (median onset age 16.8 years), and several years before the onset of the first diagnosable hypo/manic episode (median age of onset 18.4, bipolar I, and 21, bipolar-II) (Goodday et al. 2015). A recent report also found that high-risk offspring of bipolar parents had more severe depressive episodes and were more likely to experience mixed (activated) symptoms compared to low-risk depressed controls (Diler et al. 2017).

Cognitive function has been a focus of studies aimed at predicting risk and describing the early course of psychotic spectrum disorders, including bipolar disorder. Evidence from prospective studies, reviews, and a metaanalysis support distinctly different trajectories of cognitive function in schizophrenia and bipolar disorder over the emerging course of illness onset (Lewandowski et al. 2011; Trotta et al. 2015). Specifically, lower general intelligence and poorer school performance is evident pre-morbidly in children who later develop schizophrenia (MacCabe and Murray 2004; MacCabe et al. 2010). Further, at an early age, children who develop psychosis have evidence of pre-morbid cognitive deficits in verbal learning, working memory, attention, processing speed and executive function, along with "soft" neurological deficits, including problems with coordination and fine motor control (Owens and Johnstone 2006; Cannon et al. 2002). School performance, social functioning and cognitive deficits worsen through the school years leading up to the first psychotic break (Lewandowski et al. 2011). The trajectory of premorbid abnormal and deteriorating cognition and function across a number of domains, taken together with childhood neurological abnormalities, are consistent with a neurodevelopmental hypothesis of schizophrenia (Owens and Johnstone 2006; Clarke et al. 2012).

In contrast, based on prospective studies, the academic performance, cognitive function, and neurodevelopmental history of children who go on to develop bipolar disorder is comparable to that of the general population (Lewandowski et al. 2011; Trotta et al. 2015). Children who develop bipolar disorder do not manifest the same degree of lower and declining intellectual functioning or school performance as seen in children who develop schizophrenia (MacCabe et al. 2010; Cannon et al. 2002; Murray et al. 2004). Rather than reflecting the illness predisposition, cognitive deficits in bipolar disorder appear to largely manifest after illness onset (Lewandowski et al. 2011) and relate to aspects of the nature of the illness course, such as the number and polarity of mood episodes, polypharmacy, psychosis, antipsychotic treatment, and medical comorbidity (Berk et al. 2011; Hajek et al. 2013a, b; Calkin et al. 2015). However, it should be noted that studies have typically not considered heterogeneity of bipolar illness. Specifically, there is evidence to suggest that the psychotic spectrum bipolar subtype, as indexed by non-response to lithium prophylaxis, is characterized by learning disabilities, academic problems and ADHD in childhood-a point of overlap with the psychotic illness trajectory and differentiation from the early developmental history of those who develop classic lithium-responsive manic-depressive illness (Duffy 2012, 2015).

Alongside the evolution of clinical symptoms and syndromes, there is accruing evidence of the importance of psychological risk processes in the onset of bipolar disorder. These psychological risk processes in part overlap with those predicting unipolar depression in that children at genetic risk for bipolar disorder have unstable self-esteem and abnormal styles of self-regulation including excessive rumination (Jones et al. 2006a; Pavlickova et al. 2014). Additional psychological targets are suggested by studies showing that young people with hypomanic personality traits show abnormal reward responsivity, (Meyer et al. 1999) impulsiveness, (Mason et al. 2012) and abnormal interpretation of activated internal states, (Jones et al. 2006b) and that young people with abnormal sensitivity to reward and goal-striving are at elevated risk of developing BD symptoms (Alloy et al. 2012). It is possible that different psychological risk processes are important at different stages in the emerging clinical trajectory of specific subtypes of bipolar disorder and could be important intervention targets (Duffy et al. 2016).

#### Conclusions

There is evidence from a number of longitudinal highrisk offspring studies that the index manic or hypomanic episode typically manifests in mid-late adolescence and early adulthood. Despite the fact that DSM considers the activated episode to be the cardinal indicator of bipolar illness, prospective high-risk studies show that activated episodes are often preceded by years of clinically significant psychopathology at both the syndrome and symptom levels. Internalizing symptoms, emotional sensitivity and sleep and anxiety disorders in childhood, followed by depressive disorders and more proximal prodromal hypomanic symptoms manifest prior to the



full-threshold hypo/manic episode. Antecedent symptoms and syndromes may have a different predictive meaning in children at confirmed familial risk of bipolar disorder compared to children without this risk. Further, there is accumulating evidence for the importance of rumination, self-appraisal processes, impulsivity, and reward sensitivity in the development of bipolar disorder and these psychological risk processes may have different importance at different stages of the bipolar illness trajectory. In contrast to psychotic illnesses, cognitive deficits in bipolar disorder emerge after full-blown illness onset and are likely more related to mixed burden of illness effects, emphasizing the importance of accurate early identification and effective early intervention.

# **Clinical implications**

The observations from prospective high-risk studies can inform prevention and early intervention strategies at both the program and individual patient levels. While

there are some practical and conceptual reasons for separating adult and youth psychiatric services, it is clear that this separation is detrimental when it comes to youth from families with a high risk of developing major psychiatric disorders. Therefore, closer collaboration between child and adult psychiatric services and other medical programs seems crucial-both to identify children at risk given a confirmed family history and to work to improve the mental health of affected parents with children (this may be especially important for bipolar mothers with children). In addition, further development of programs designed specifically targeting symptomatic transitional aged youth (15-25 years) is a necessity. These programs should offer engaging and accessible psychoeducation and support programs to mitigate risk factors and bolster resilience including healthy coping with stress, mood regulation, and avoidance of substance use. These community-based programs should have direct access to subspecialty early intervention programs that provide timely

expert assessment and evidence-based care to those with emergent illness, especially those with a confirmed familial risk of major psychiatric illness and/or suicide.

At an individual level, to more accurately predict risk, prognosis, and treatment response in young people, who often have limited and seemingly non-specific clinical presentations, the current approach to diagnosis should be supplemented with other predictive information including: penetrance and nature of psychiatric illnesses in relatives, age on onset of illness in first-degree relatives, developmental history, psychosocial risk exposures, and the clinical course of emerging psychopathology. The outcome of this more comprehensive approach will earlier illness identification, more effective and better-tolerated interventions, and improved long-term outcomes for patients.

#### Research gaps and next directions

Longitudinal studies of children of well-characterized bipolar parents and their adult relatives will advance understanding of pathophysiological mechanisms, translating predisposition to illness onset and identifying more homogeneous bipolar subtypes. Prospective offspring studies make possible the mapping of changes in multilevel indicators of illness risk from pre-morbid states to prodromes and finally to illness onset. Early risk exposures (trauma, abuse, exposure to parental active illness), psychological risk processes, and biological markers can be mapped to the emerging clinical course through parallel studies. Understanding the nature of the illnesses segregating in family members and the family environment is also an important contributor to risk and outcomes in the children. These studies can also advance understanding of complex pathways leading to complications and comorbidities of bipolar disorder such as substance use, suicide and cardiovascular illness.

To advance, future research will need to consider the effect of heterogeneity on outcomes. As currently defined, bipolar disorder is a broad diagnostic category comprised of different illness subtypes with varying pathophysiology as evidenced by differences in clinical course, family history, treatment response, genetic, and neurobiological findings (etiologic heterogeneity) (Manchia et al. 2013; Angst et al. 2004). One type of bipolar disorder appears related to psychotic illness with early adolescent onset of psychotic manic or mixed episodes, male preponderance, neurodevelopmental comorbidity, poor quality of remission, family history of psychotic or chronic mood disorders and failure to fully respond to lithium prophylaxis (Grof et al. 2009; Alda 2004). By contrast, another type of bipolar disorder is consistent with the Kraepelin description of classical manic-depressive illness characterized by a highly recurrent course, predominance of depressive episodes, good quality of spontaneous remission, equal sex ratio, family history of episodic mood disorders, and an excellent response to lithium prophylaxis (Grof et al. 2009; Alda 2004).

There is also evidence of phenotypic heterogeneity in that the bipolar trait presents differently in affected relatives within the same families, who presumably share the same illness subtype or trait. Furthermore, the spectrum of illness (phenotypic spectrum) differs between subtypes. For example, relatives of patients with a classical lithium-responsive manic-depressive illness manifest high rates of episodic major depression and bipolar I and II disorders, while relatives of patients with psychotic spectrum bipolar disorder have elevated rates of chronic illnesses including neurodevelopmental disorders (autism, learning disabilities, ADHD), schizoaffective illness, chronic depression, and psychosis (Duffy and Grof 2001; Rice et al. 1987).

More recently, early intervention research concerning psychotic disorders has focused on studying heterogeneous populations of youth at clinical high-risk of developing psychosis. While this approach has been very helpful in identifying individuals manifesting high-risk mental states warranting intensive surveillance and clinical intervention, the approach is not likely robust enough to inform the identification and validation of biomarkers or to understand the translation of genetic predisposition into illness onset. To advance our understanding of bipolar disorder onset, it will be necessary to start from parents with well-characterized bipolar illness that can be organized into more homogeneous subtypes. Refined illness phenotypes have a higher likelihood of mapping to changes in underlying genetic and neurobiological markers and thereby identifying specific intervention targets. Whilst findings may not be directly generalizable to heterogeneous at risk and clinical populations, it is a necessary approach to advance our understanding of the pathophysiology driving emerging illness. Similar approaches have been very productive in differentiating illness subtypes and identifying susceptibility genes and biological markers associated with illness progression in other areas such as autism (Loth et al. 2017), dementia (Meeter et al. 2017), and cancer research (Zabor and Begg 2017).

#### Authors' contributions

All authors have participated in contributing their outcomes and writing of this manuscript. All authors have provided consent for this submission. All authors read and approved the final manuscript.

#### Author details

<sup>1</sup> Student Wellness Centre, Department of Psychiatry, Queen's University, 146 Stuart Street, Kingston, ON K7L3N6, Canada. <sup>2</sup> Department of Psychiatry Lausanne, University Hospital of Lausanne, Lausanne, Switzerland. <sup>3</sup> Medical Sciences Division, University of Oxford, Oxford, UK.

#### Acknowledgements

We thank the families who were involved in this research.

#### **Competing interests**

The authors declare that they have no competing interests.

Availability of data and materials

Not applicable.

#### Ethics approval and consent to participate

The Canadian Flourish high-risk research is approved by the local Independent Research Ethics Board in Ottawa and by the Queen's University Ethics Board. The Lausanne–Geneva high-risk research study is approved by the local institutional review board.

#### Funding

The Flourish Offspring study is funded by a grant from the Canadian Institutes for Health Research (CIHR) PJT# 152976. The Lausanne–Geneva high-risk study was and is supported by five grants from the Swiss National Foundation (SNF: #3200-040677, #32003B-105969, and #32003B-118326 to F. Ferrero; #3200-049746 and #3200-061974 to M. Preisig), two grants for a national research project *"The Synaptic Bases of Mental Diseases"* (#125759 and #158776 to P. Magistretti) financed by the Swiss National Foundation, and a grant from GlaxoSmithKline Clinical Genetics.

#### **Publisher's Note**

Springer Nature remains neutral with regard to jurisdictional claims in published maps and institutional affiliations.

### Received: 23 August 2017 Accepted: 25 September 2017 Published online: 22 November 2017

#### References

- Alda M. The phenotypic spectra of bipolar disorder. Neuropsychopharmacology. 2004;14:94–9.
- Alloy LB, Bender RE, Whitehouse WG, et al. High behavioral approach system (BAS) sensitivity, reward responsiveness, and goal-striving predict first onset of bipolar spectrum disorders: a prospective behavioral high-risk design. J Abnorm Psychol. 2012;121:339–51.
- Angst J, Gerber-Werder R, Zuberbuhler HU, et al. Is bipolar I disorder heterogeneous? Eur Arch Psychiatry Clin Neurosci. 2004;254:82–91.
- Axelson D, Goldstein B, Goldstein T, et al. Diagnostic precursors to bipolar disorder in offspring of parents with bipolar disorder: a longitudinal study. Am J Psychiatry. 2015;172:638–46.
- Bagot RC, Meaney MJ. Epigenetics and the biological basis of gene x environment interactions. J Am Acad Child Adolesc Psychiatry. 2010;49:752–71.
- Berk M, Kapczinski F, Andreazza AC, et al. Pathways underlying neuroprogression in bipolar disorder: focus on inflammation, oxidative stress and neurotrophic factors. Neurosci Biobehav Rev. 2011;35:804–17.
- Birmaher B, Axelson D, Monk K, et al. Lifetime psychiatric disorders in schoolaged offspring of parents with bipolar disorder: the Pittsburgh bipolar offspring study. Arch Gen Psychiatry. 2009;66:287–96.
- Calkin CV, Ruzickova M, Uher R, et al. Insulin resistance and outcome in bipolar disorder. Br J Psychiatry. 2015;206:52–7.
- Cannon M, Caspi A, Moffitt TE, et al. Evidence for early-childhood, pan-developmental impairment specific to schizophreniform disorder: results from a longitudinal birth cohort. Arch Gen Psychiatry. 2002;59:449–56.
- Clarke MC, Kelleher I, Clancy M, Cannon M. Predicting risk and the emergence of schizophrenia. Psychiatr Clin North Am. 2012;35:585–612.
- Diler RS, Goldstein TR, Hafeman D, et al. Characteristics of depression among offspring at high and low familial risk of bipolar disorder. Bipolar Disord. 2017;19(5):344–52.
- Doucette S, Levy A, Flowerdew G, et al. Early parent-child relationships and risk of mood disorder in a Canadian sample of offspring of a parent with bipolar disorder: findings from a 16-year prospective cohort study. Early Interv Psychiatry. 2016;10:381–9.

- Duffy A. The nature of the association between childhood ADHD and the development of bipolar disorder: a review of prospective high-risk studies. Am J Psychiatry. 2012;169:1247–55.
- Duffy A. Toward a comprehensive clinical staging model for bipolar disorder: integrating the evidence. Can J Psychiatry Revue Canadienne de Psychiatrie. 2014;59:659–66.
- Duffy A. Early identification of recurrent mood disorders in youth: the importance of a developmental approach. Evid-Based Ment Health. 2015;18:7–9.
- Duffy A, Alda M, Hajek T, Grof P. Early course of bipolar disorder in high-risk offspring: prospective study. Br J Psychiatry. 2009;195:457–8.
- Duffy A, Alda M, Kutcher S, Fusee C and Grof P. Psychiatric symptoms and syndromes among adolescent children of parents with lithium-responsive or lithium-nonresponsive bipolar disorder. Am J Psychiatry. 1998;155:431–3.
- Duffy A, Grof P. Psychiatric diagnoses in the context of genetic studies of bipolar disorder. Bipolar Disord. 2001;3:270–5.
- Duffy A, Grof P, Robertson C, Alda M. The implications of genetics studies of major mood disorders for clinical practice. J Clin Psychiatry. 2000;61:630–7.
- Duffy A, Horrocks J, Doucette S, Keown-Stoneman C, McCloskey S, Grof P. Childhood anxiety: an early predictor of mood disorders in offspring of bipolar parents. J Affect Disord. 2013;150:363–9.
- Duffy A, Horrocks J, Doucette S, Keown-Stoneman C, McCloskey S, Grof P. The developmental trajectory of bipolar disorder. Br J Psychiatry. 2014;204:122–8.
- Duffy A, Jones S, Goodday S, Bentall R. Candidate risks indicators for bipolar disorder: early intervention opportunities in high-risk youth. Int J Neuropsychopharmacol. 2016. doi:10.1093/ijnp/pyv071.
- Egeland JA, Endicott J, Hostetter AM, Allen CR, Pauls DL, Shaw JA. A 16-year prospective study of prodromal features prior to BPI onset in well Amish children. J Affect Disord. 2012;142:186–92.
- Egeland JA, Hostetter AM, Pauls DL, Sussex JN. Prodromal symptoms before onset of manic-depressive disorder suggested by first hospital admission histories. J Am Acad Child Adolesc Psychiatry. 2000;39:1245–52.
- Egeland JA, Shaw JA, Endicott J, et al. Prospective study of prodromal features for bipolarity in well Amish children. J Am Acad Child Adolesc Psychiatry. 2003;42:786–96.
- Goodday S, Levy A, Flowerdew G, et al. Early exposure to parental bipolar disorder and risk of mood disorder: the Flourish Canadian prospective offspring cohort study. Early Interv Psychiatry. 2015. doi:10.1111/eip.12291.
- Grof P, Duffy A, Alda M, Hajek T. Lithium response across generations. Acta Psychiatr Scand. 2009;120:378–85.
- Hafeman DM, Merranko J, Axelson D, et al. Toward the definition of a bipolar prodrome: dimensional predictors of bipolar spectrum disorders in at-risk youths. Am J Psychiatry. 2016;173:695–704.
- Hajek T, Calkin C, Blagdon R, Slaney C, Alda M. Type 2 diabetes mellitus: a potentially modifiable risk factor for neurochemical brain changes in bipolar disorders. Biol Psychiatry. 2013a;77(33):295–303.
- Hajek T, Cullis J, Novak T, et al. Brain structural signature of familial predisposition for bipolar disorder: replicable evidence for involvement of the right inferior frontal gyrus. Biol Psychiatry. 2013b;73:144–52.
- Jones SH, Tai S, Evershed K, Knowles R, Bentall R. Early detection of bipolar disorder: a pilot familial high-risk study of parents with bipolar disorder and their children. Bipolar Disord. 2006a;8:362–72.
- Jones S, Mansell W, Waller L. Appraisal of hypomania-relevant experiences: development of a questionnaire to assess positive self-dispositional appraisals in bipolar and behavioural high risk samples. J Affect Disord. 2006b;93:19–28.
- Judd LL, Akiskal HS. The prevalence and disability of bipolar spectrum disorders in the US population: re-analysis of the ECA database taking into account subthreshold cases. J Affect Disord. 2003;73:123–31.
- Judd LL, Akiskal HS, Schettler PJ, et al. Psychosocial disability in the course of bipolar I and II disorders: a prospective, comparative, longitudinal study. Arch Gen Psychiatry. 2005;62:1322–30.
- Keck PE Jr, Kessler RC, Ross R. Clinical and economic effects of unrecognized or inadequately treated bipolar disorder. J Psychiatr Pract. 2008;14(Suppl 2):31–8.
- Lewandowski KE, Cohen BM, Ongur D. Evolution of neuropsychological dysfunction during the course of schizophrenia and bipolar disorder. Psychol Med. 2011;41:225–41.

- Loth E, Charman T, Mason L, et al. The EU-AIMS longitudinal European autism project (LEAP): design and methodologies to identify and validate stratification biomarkers for autism spectrum disorders. Mol Autism. 2017;8:24.
- MacCabe JH, Lambe MP, Cnattingius S, et al. Excellent school performance at age 16 and risk of adult bipolar disorder: national cohort study. Br J Psychiatry. 2010;196:109–15.
- MacCabe JH, Murray RM. Intellectual functioning in schizophrenia: a marker of neurodevelopmental damage? J Intell Disabil Res. 2004;48:519–23.
- Manchia M, Cullis J, Turecki G, Rouleau GA, Uher R, Alda M. The impact of phenotypic and genetic heterogeneity on results of genome wide association studies of complex diseases. PLoS ONE. 2013;8:e76295.
- Mason L, O'Sullivan N, Blackburn M, Bentall R, El-Deredy W. I want it now! Neural correlates of hypersensitivity to immediate reward in hypomania. Biol Psychiatry. 2012;71:530–7.
- McGuffin P, Perroud N, Uher R, et al. The genetics of affective disorder and suicide. Eur Psychiatry. 2010;25:275–7.
- Meeter LH, Kaat LD, Rohrer JD, van Swieten JC. Imaging and fluid biomarkers in frontotemporal dementia. Nat Rev Neurol. 2017;13:406–19.
- Merikangas KR, Cui L, Heaton L, et al. Independence of familial transmission of mania and depression: results of the NIMH family study of affective spectrum disorders. Mol Psychiatry. 2014;19:214–9.
- Mesman E, Birmaher BB, Goldstein BI, et al. Categorical and dimensional psychopathology in Dutch and US offspring of parents with bipolar disorder: a preliminary cross-national comparison. J Affect Disord. 2016;205:95–102.
- Mesman E, Nolen WA, Reichart CG, Wals M, Hillegers MH. The Dutch bipolar offspring study: 12-year follow-up. Am J Psychiatry. 2013;170:542–9.
- Meyer B, Johnson S, Carver C. Exploring behavioural activation and inhibition sensitivities among college students at-risk for mood disorders. J Psychopathol Behav Assess. 1999;21:275–92.
- Murray RM, Sham P, Van Os J, Zanelli J, Cannon M, McDonald C. A developmental model for similarities and dissimilarities between schizophrenia and bipolar disorder. Schizophr Res. 2004;71:405–16.
- Nurnberger JIJ, McInnis M, Reich W, Kastelic E, Wilcox HC, Glowinski A, et al. A high-risk study of bipolar disorder. Childhood clinical phenotypes as precursors of major mood disorders. Arch Gen Psychiatry. 2011;68:1012–20.
- Owens DG, Johnstone EC. Precursors and prodromata of schizophrenia: findings from the Edinburgh high risk study and their literature context. Psychol Med. 2006;36:1501–14.
- O'Donovan C, Garnham JS, Hajek T, Alda M. Antidepressant monotherapy in pre-bipolar depression; predictive value and inherent risk. J Affect Disord. 2008;107:293–8.

- Pavlickova H, Turnbull O, Myin-Germeys I, Bentall RP. The inter-relationship between mood, self-esteem and response styles in adolescent offspring of bipolar parents: an experience sampling study. Psychiatry Res. 2015;225(3):563–70. doi:10.1016/j.psychres.2014.11.046.
- Petronis A. Epigenetics as a unifying principle in the aetiology of complex traits and diseases. Nature. 2010;465:721–7.
- Post RM, Altshuler LL, Kupka R, et al. Age of onset of bipolar disorder: combined effect of childhood adversity and familial loading of psychiatric disorders. J Psychiatr Res. 2016;81:63–70.
- Preisig M, Strippoli MP, Castelao E, et al. The specificity of the familial aggregation of early-onset bipolar disorder: a controlled 10-year follow-up study of offspring of parents with mood disorders. J Affect Disord. 2016;190:26–33.
- Rice J, Reich T, Andreasen NC, et al. The familial transmission of bipolar illness. Arch Gen Psychiatry. 1987;44:441–7.
- Smoller JW, Finn CT. Family, twin, and adoption studies of bipolar disorder. Am J Med Genet Part C, Semin Med Genet. 2003;123C:48–58.
- Strober M, Carlson G. Predictors of bipolar illness in adolescents with major depression: a follow-up investigation. Adolesc Psychiatry. 1982;10:299–319.
- Trotta A, Murray RM, MacCabe JH. Do premorbid and post-onset cognitive functioning differ between schizophrenia and bipolar disorder? A systematic review and meta-analysis. Psychol Med. 2015;45:381–94.
- Van Meter AR, Burke C, Youngstrom EA, Faedda GL, Correll CU. The bipolar prodrome: meta-analysis of symptom prevalence prior to initial or recurrent mood episodes. J Am Acad Child Adolesc Psychiatry. 2016;55:543–55.
- Vandeleur CL, Merikangas KR, Strippoli MP, Castelao E, Preisig M. Specificity of psychosis, mania and major depression in a contemporary family study. Mol Psychiatry. 2014;19:209–13.
- Wals M, Hillegers MH, Reichart CG, Ormel J, Nolen WA, Verhulst FC. Prevalence of psychopathology inchildren of a bipolar parent. J Am Acad Child Adolesc Psychiatry. 2001;40:1094–102.
- Wilde A, Chan HN, Rahman B, et al. A meta-analysis of the risk of major affective disorder in relatives of individuals affected by major depressive disorder or bipolar disorder. J Affect Disord. 2014;158:37–47.
- Zabor EC, Begg CB. A comparison of statistical methods for the study of etiologic heterogeneity. Stat Med. 2017. doi:10.1002/sim.7405.

# Submit your manuscript to a SpringerOpen<sup>®</sup> journal and benefit from:

- Convenient online submission
- Rigorous peer review
- Open access: articles freely available online
- High visibility within the field
- Retaining the copyright to your article

Submit your next manuscript at > springeropen.com